

Analysis Ready Data

Product Family

Specification

For Land

Surface Reflectance (CARD4L-SR)

Document Status

Product Family Specification, Surface Reflectance

This Specification should next be reviewed on:

March 2021, or no later than 2 weeks before LSI-VC-11 meeting.

Proposed revisions may be provided to:

lsi@lists.ceos.org

Document History

Version	Date	Description of Change	Author
0.0.2	01.03.2017	Zero Draft translating previous materials to this format. With many thanks to all CEOS contributors.	Ross
1.0.0	16.04.2017	Included document history; added numbering and pagination to improve navigability and internal referencing of sections; Added Guidance Section:	Lewis
		- various minor edits	
		 revised 1.4 'target' 	
		- 1.7, 1.8, 1.9 may need revisiting	
		- Added 3.1, measurement	
		- Added 3.2, uncertainty	
		- Added 2.10, terrain occlusion	
2.0.0	30.08.2017	Feedback incorporated, circulated to LSI-VC	Lewis
2.1.0	06.09.2017	Feedback from ESA incorporated and comments noted on 1.11, 1.12, 1.8; 1.15; 1.17; 3.6-3.8; 4.1.	Lewis
2.1.1	06.09.2017	Tracked changes rolled in.	Lewis
2.1.2	11.11.2017	Edits.	Lewis

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3.0	22.01.2018	Feedback during and after (emails) the teleconference (06/12/2018) included.	Siqueira
3.1	31.01.2019	Proposed final SR PFS draft shared with USGS, ESA, and GA self-assessment leads seeking further comments. The draft addressed the feedback provided by the agencies' ARD data self- assessment process.	Siqueira
3.1.1	06.02.2019	Final draft shared with LSI-VC list and LSI-VC-7 meeting participants seeking support for document endorsement at the LSI-VC-7.	Siqueira
3.1.1	22.02.2019	Comments and suggestions from LSI-VC-7 meeting (minutes) and feedback from USGS incorporated.	Siqueira
3.1.2	28.02.2019	Formatting and verbiage updated for consistency.	Metzger
4.0	02.03.2019	Version endorsed at LSI-VC7 meeting (14Feb 2019)	LSI-VC
4.1	26.06.2019	Added self-assessment columns	Bontje
4.2	08.05.2020	This review cycle considers feedback received from USGS and ESA after the formal self-assessment for Surface Reflectance products (Landsat and Sentinel-2). Minor editorial changes were done throughout the document. Requirements <i>1.2, 1.3,</i> <i>1.7, 1.12, 1.13, 1.14, 1.16, 2.1, 2.11, 2.12, 2.13 and</i> <i>3.3</i> have been updated. Feedback from USGS added (email: 21/05/2020). Tech edit.	Siqueira
4.3	25.05.2020		Siqueira
5.0	08.06.2020		Bontje, Labahn

Adam Lewis, Geoscience Australia, Australia Jonathon Ross, Geoscience Australia, Australia Andreia Siqueira, Geoscience Australia, Australia Darcie Bontje, USGS, USA Steve Labahn, USGS, USA Mary Metzger, USGS, USA

Description

Product Family Title:

Surface Reflectance (CARD4L-SR)

Applies to: Data collected with multispectral sensors operating in the VIS/NIR/SWIR wavelengths. These typically operate with ground sample distance and resolution in the order of 10-100m; however, the Specification is not inherently limited to this resolution.

Definitions

CRS	Coordinate Reference System
SR	Surface Reflectance
Ancillary Data	Data other than instrument measurements, originating in the instrument itself or from the satellite, required to perform processing of the data. They include orbit data, attitude data, time information, spacecraft engineering data, calibration data, data quality information, and data from other instruments.
Auxiliary Data	The data required for instrument processing, which does not originate in the instrument itself or from the satellite. Some auxiliary data will be generated in the ground segment, whilst other data will be provided from external sources.
Metadata	Structured information that describes other information or information services. With well-defined metadata, users should be able to get basic information about data, without the need to have knowledge about its entire content.
MTF	Modulation Transfer Function
Spectral Resolution	Defines the narrowest spectral feature that can be resolved by a spectrometer.
Spatial Resolution	The highest magnification of the sensor at the ground surface.
Spectral Sampling Distance	Spectral sampling is the interval, in wavelength units, between discrete data points in the measured spectrum.
Spatial Sampling Distance	Spatial sampling distance is the barycentre-to-barycentre distance between adjacent spatial samples on the Earth's surface.

Requirements

General Metadata

These are metadata records describing a distributed collection of pixels. The collection of pixels referred to must be contiguous in space and time. General metadata should allow the user to assess the <u>overall</u> suitability of the dataset, and must meet the following requirements:

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.1	Traceability	Not required.	Data must be traceable to SI reference standard. Note 1: Relationship to 3.2. Traceability requires an estimate of measurement uncertainty. Note 2: Information on traceability should be available in the metadata as a single DOI landing page.	Yes, 'Not required'	No	In PROBA-V C2, no measurement or uncertainty is provided, but it is under consideration for a future Collection.	
1.2	Metadata Machine Readability	Metadata is provided in a structure that enables a computer algorithm to be used consistently and to automatically identify and extract each component part for further use.	As threshold, but metadata should be provided in a community endorsed standard that facilitates machine-readability, such as ISO 19115-2.	Yes	Yes	Each PROBA-V C2 product file is accompanied by 2 metadata files in XML. The inspire metadata file is conform INSPIRE metadata ISO 19115-2 and is validated against the MI_Metadata schema(http://www.isotc211.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						org/2005/gmi/gmi.xsd). The hma metadata file is HMA conform (Heterogeneous Mission Accessibility (GSCDA/ESA))	
1.3	Data Collection Time	The data collection time is identified in the metadata, expressed in date/time, to the second, with the time offset from UTC unambiguously identified.	Acquisition time for each pixel is identified (or can be reliably determined) in the metadata, expressed in date/time at UTC, to the second.	Yes	Yes (timegrid per pixel)	The metadata file contains the begin time (gml) and end time (gml) of the acquisition. Example: <gml:timeperiod gml:id="ID_4"> <gml:beginp osition>2019-05- 24T03:18:27ZbeginPosition> <gml:endpos ition>2019-05- 24T03:38:44ZendPosition> eriod> The Level 3 products include a TIME band</gml:endpos </gml:beginp </gml:timeperiod 	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						with the timegrid per pixel.	
1.4	Geographical Area	The surface location to which the data relates is identified, typically as a series of four corner points, expressed in an accepted coordinate reference system (e.g., WGS84).	The geographic area covered by the observations is identified specifically, such as through a set of coordinates of a closely bounding polygon. The location to which each pixel refers is identified (or can be reliably determined) with the projection system (if any) and reference datum provided.	Yes	Yes	The metadata file contains Bounding Box and Polygon with the applicable CRS.	
1.5	Coordinate Reference System	The metadata lists the coordinate reference system that has been used.	As threshold.	Yes	Yes	The metadata file includes referenceSystemInfo (gml) with URL to a known CRS, e.g. <u>http://www.opengis.n</u> <u>et/def/crs/EPSG/0/43</u> <u>26</u>	
1.6	Map Projection	The metadata lists the map projection that has been used and any relevant parameters required in	As threshold.	Yes	Yes	The metadata file of L3 surface reflectance products includes the referenceSystemInfo	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		relation to use of data in				and links to an EPSG	
		that map projection.				code.	
						<pre>Example: <gmd:referencesyst emInfo xmlns:gco="http:// www.isotc211.org/2 005/gco" xmlns:gml="http:// www.opengis.net/gm 1" xmlns:xlink="http: //www.w3.org/1999/ xlink" xlink:type="simple "></gmd:referencesyst </pre>	
						<pre><gmd:md_references ystem=""></gmd:md_references></pre>	
						<pre><gmd:referencesyst emidentifier="" xlink:type="simple "></gmd:referencesyst></pre>	
						<gmd:rs_identifier ></gmd:rs_identifier 	
						<gmd:code></gmd:code>	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						<pre><gco:characterstri ng="">http://www.open gis.net/def/crs/EP SG/0/4326</gco:characterstri></pre>	
						<gmd:codespace></gmd:codespace>	
						<gco:characterstri ng>EPSGcterString></gco:characterstri 	
						r>	
						temIdentifier>	
						System>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						temInfo>	
1.7	Geometric Correction Methods	Not required. The user is not explicitly advised of the geometric correction source and methods.	Information on geometric correction methods should be available in the metadata as a single DOI landing page, including reference database and auxiliary data such as elevation model(s) and reference chipsets.	Yes, 'Not required'	Yes	For the PROBA-V C2 surface reflectance product a landing page has been introduced, linked to a DOI. Within the collection and product metadata, there is a reference to this DOI. More info see General information The landing page refers to the PUM (Product User Manual) in which geometric processing is described.	
1.8	Geometric Accuracy of the Data	Not required. The user is not provided with results of geometric accuracy assessments pertaining to the dataset.	The metadata includes metrics describing the assessed geodetic accuracy of the data, expressed units of the coordinate system of the data. Accuracy is assessed by independent verification (as well as internal model-fit	Yes, 'Not required'	Yes	INSPIRE metadata includes dataQualityInfo with DQ_GriddedDataPositi onalAccuracy. Additional information on geometric accuracy is available in the PUM accessible	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
			where applicable). Uncertainties are expressed quantitatively, for example, as root mean square error (RMSE) or Circular Error Probability (CEP90, CEP95), etc. Note 1: Information on geometric accuracy of the data should be available in the metadata as a single DOI landing page.			through the single DOI landing page for the PROBA-V C2 TOC collection (see General information). Overall information on geometric accuracy is available on the PROBA-V quality webpage: <u>https://proba-</u> v.vgt.vito.be/en/qualit y/geolocation- accuracy.	
1.9	Instrument	The instrument used to collect the data is identified in the metadata.	As threshold, but information should be available in the metadata as a single DOI landing page with references to the relevant CEOS Missions, Instruments, and Measurements Database record.	Yes	No	The metadata file includes the instrument info in the gmi:acquisitionInform ation tag. For the Target Requirement: No reference to the CEOS Missions Instruments, and Measurements Database record but this can be included in the PUM accessible through the single DOI landing page.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.10	Spectral Bands	The central wavelength for each band for which data is included is identified in the metadata, expressed in SI units.	As threshold, with instrument spectral response details (e.g., full spectral response function) also included or directly accessible using details in the metadata. Central wavelength and bandwidth at full-width half maximum value of the relative spectral response function are provided at least. <i>Note 1: Information on</i> <i>spectral bands should be</i> <i>available in the metadata as a</i> <i>single DOI landing page.</i>	Yes	Yes	Central wavelength (peakResponse) of the bands is available in the metadata. Expressed in nanometer (10 ⁻⁹ meters). <gmi:mi_band> <gmd:sequen ceIdentifier> <gco:member Name> <gco:aname> <gco:charac terString>BLUEo:CharacterString> > <gco:attrib uteType gco:nilReason='unk nown'/> rName></gco:attrib </gco:charac </gco:aname></gco:member </gmd:sequen </gmi:mi_band>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						nceIdentifier> <gmd:peakre sponse><gco:real>4 64</gco:real>:peakResponse> <gmd:bitspe rValue><gco:intege r>16 > <gmd:scalef actor><gco:real>0. 0005</gco:real>md:scaleFactor></gmd:scalef </gco:intege </gmd:bitspe </gmd:peakre 	
						<pre><gmd:offset><gco:real>0.0</gco:real></gmd:offset></pre>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.11	Sensor Calibration	Not required. The general metadata does not include sensor calibration details.	Sensor calibration parameters are identified in the metadata or can be accessed using details included in the metadata. Ideally this would support machine-to-machine access. Note 1: Information on sensor calibration should be available in the metadata as a single DOI landing page.	Yes, 'Not required'	No	Upstream L1C(TOA) and L2A products metadata refer to used radiometric ICP file (root group) but not the values. These values are part of the ICP files which are currently not public. This information is excluded from L3 surface reflectance (TOC) products metadata. Detailed information is available in the PUM via the single DOI landing page.	
1.12	Radiometric Accuracy	Not required. The general metadata does not include information on the radiometric accuracy of the data.	The metadata includes metrics describing the assessed absolute radiometric uncertainty of the version of the data or product, expressed as absolute radiometric uncertainty relative to appropriate, known reference sites and standards (for example, pseudo-	Yes, 'Not required'	No	Idem as above. Per month a file / camera. ICP reference.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
			invariant calibration sites, rigorously collected field spectra, PICS, Rayleigh, DCC, etc.) Note 1: Information on radiometric accuracy should be available in the metadata as a single DOI landing page.				
1.13	Algorithms	All algorithms, and the sequence in which they were applied in the generation process, are identified in the metadata. For example, these may be available through Algorithm Theoretical Basis documents. <i>Note 1: Information on</i> <i>algorithms should be</i> <i>available in the metadata as</i> <i>a single DOI landing page.</i>	As threshold, but only algorithms that have been published in a peer-reviewed journal. Note 1: It is possible that high quality corrections are applied through non-disclosed processes. CARD4L does not per-se require full and open data and methods. Note 2: Information on algorithms should be available in the metadata as a single DOI landing page.	Yes	? (TBC)	All algorithms, processing steps are listed in the metadata (lineage /ProcessStep). Detailed documentation is available as citation to the PROBA-V Products User Manual (PUM) via the single DOI landing page.	
1.14	Auxiliary Data	The metadata identifies the sources of auxiliary data used in the generation	As threshold, but information on auxiliary data should be available in the metadata as a single DOI landing page and is	Yes	Partly	Detailed information including which auxiliary data is used for the generation of	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		process, ideally expressed as a single DOI landing page. Note 1: Auxiliary data includes DEMs, aerosols, etc. data sources.	also available for free online download, contemporaneously with the product or through a link to the source.			the products is available in the citation to the PROBA- V Products User Manual (PUM) via the single DOI landing page.	
1.15	Processing Chain Provenance	Not required.	Information on processing chain provenance should be available in the metadata as a single DOI landing page containing detailed description of the processing steps used to generate the product, including the versions of software used, giving full transparency to the users.	Yes, 'Not required'	Yes	All algorithms, processing steps are listed in the metadata (lineage /ProcessStep) Detailed information is available in the citation to the PROBA- V Products User Manual (PUM) via the single DOI landing page.	
1.16	Data Access	Information on data access should be available in the metadata as a single DOI landing page. Note 1: Manual and offline interaction action (e.g., login) may be required.	As threshold.	Yes	Yes	The metadata includes information on data access in the gmd:distributionOrder Process tag. Detailed information on data access is available in the citation to the single DOI landing page.	

Poquiroments	Requirement Modification
1.17Overall Data QualityNot applicable.Machine-readable metrics describing the overall quality of the data are included in the 	Modification
Quality describing the overall quality of the data are included in the metadata, at minimum the cloud cover extent, i.e.: applicable' includes information on cloud cover, snow cover,	
observations over Iand (c.f. ocean) Iand (c.f. ocean) affected by non-target phenomena, e.g., cloud and cloud shadows shadows	

Per-Pixel Metadata

The following minimum metadata specifications apply to each pixel. Whether the metadata are provided in a single record relevant to all pixels or separately for each pixel is at the discretion of the data provider. Per-pixel metadata should allow users to <u>discriminate between</u> (choose) observations based on their individual suitability for application.

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.1	Metadata Machine Readability	Metadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component part for further use.	As threshold.	Yes	Yes	Each PROBA-V C2 product file is accompanied by 2 metadata files in XML. The inspire metadata file is conform INSPIRE metadata ISO 19115- 2.	
2.2	No Data	Pixels that do not correspond to an observation ('empty pixels') are flagged.	As threshold.	Yes	Yes	The product metadata contains a no data value for users to mask out missing data. More info on the no value data can be found in the Product User Manual (PUM).	
2.3	Incomplete Testing	The metadata identifies pixels for which the per-pixel tests (below) have not all been successfully completed.	The metadata identifies which tests have, and have not, been successfully completed for each pixel.	Yes	No	The pixels for which cloud detection could not be performed e.g. due to missing radiometry are	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		Note 1: This may be the result of missing ancillary data for a subset of the pixels.				flagged as undefined in the Status Map	
2.4	Saturation	Metadata indicates where one or more spectral bands are saturated.	Metadata indicates which pixels are saturated for each spectral band.	Yes	Yes	This is included in the products since PROBA-V C2. Saturated pixels in the PROBA-V TOC products have the maximum value (4095). More info on saturation can be found in the Product User Manual (PUM).	
2.5	Cloud	Metadata indicates whether a pixel is assessed as being cloud.	As threshold, information on cloud detection should be available in the metadata as a single DOI landing page.	Yes	Yes	Cloud detection information is available from BitO & Bit1 in the Status Map. Detailed information on cloud detection is available in the citation to the single DOI landing page with a link to the PUM.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.6	Cloud Shadow	Metadata indicates whether a pixel is assessed as being cloud shadow.	As threshold, but information on cloud shadow detection should be available in the metadata as a single DOI landing page.	Yes	Yes	Cloud shadow detection information is available in the Status Map. Detailed information on cloud shadow detection is available in the citation to the single DOI landing page with a link to the PUM.	
2.7	Land/Water Mask	Not required.	The metadata indicates whether a pixel is assessed as being land or water. Information on land/water mask should be available in the metadata as a single DOI landing page.	Yes, 'Not required'	Yes	Land/Water mask information is available in the Status Map. Detailed information on cloud detection is available in the citation to the single DOI landing page with a link to the PUM.	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.8	Snow/Ice Mask	Not required.	The metadata indicates whether a pixel is assessed as being snow/ice or not. Information on snow/ice mask should be available in the metadata as a single DOI landing page.	Yes, 'Not required'	Yes	Snow/Ice detection information is available the Status Map. Detailed information on snow/ice detection is available in the citation to the single DOI landing page with a link to the PUM.	
2.9	Terrain Shadow Mask	Not required.	The metadata indicates pixels that are not directly illuminated due to terrain shadowing.	Yes, 'Not required'	No	Less relevant for the PROBA-V data	
2.10	Terrain Occlusion	Not required.	The metadata indicates pixels that are not visible to the sensor due to terrain occlusion during off-nadir viewing.	Yes, 'Not required'	No	Less relevant for the PROBA-V data	
2.11	Solar and Viewing Geometry	Provide average solar and sensor viewing azimuth and zenith angles.	Provide per-pixel solar and sensor viewing azimuth and zenith angles.	Yes	Yes	The product provides per-pixel solar and sensor viewing azimuth and zenith angles. Average solar and sensor viewing azimuth and zenith angles are not	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
						provided however the threshold requirement with a per-pixel solar and sensor viewing azimuth and zenith angles are already in place. The threshold level can be reached by averaging the per- pixel value.	
2.12	Terrain Illumination Correction	Not required.	Coefficients used for terrain illumination correction are provided for each pixel.	Yes, 'Not required'	No	Less relevant for the PROBA-V data	
2.13	Aerosol Optical Depth Parameters	Not required.	To be determined.	Yes, 'Not required'	/		

Radiometric and Atmospheric Corrections

The following requirements must be met for all pixels in a collection. The requirements indicate both the necessary outcomes (3.1-3.3) and the minimum steps necessary to be deemed to have achieved those outcomes (3.4 onward). Radiometric corrections must lead to a valid measurement of surface reflectance.

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
3.1	Measurement	Pixel values that are expressed as a measurement of the Surface Reflectance of the land. This is a dimensionless value.	Surface Reflectance measurements are SI traceable (see also 1.1).	Yes	Yes	Surface reflectance SI is indicated as a dimensionless value.	
3.2	Measurement Uncertainty	Not required. Note 1: In current practice, users determine fitness for purpose based on knowledge of the lineage of the data, rather than on a specific estimate of measurement uncertainty.	An estimate of the certainty of the values is provided in measurement units. Note 1: This is a requirement for SI traceability. See also 1.1. Note 2: Information on measurement uncertainty should be available in the metadata as a single DOI landing page.	Yes, 'Not required'	No	No uncertainty available	
3.3	Measurement Normalisation	Not required.	Measurements are normalised for solar and viewing conditions (i.e., nadir view angle and average solar angles). This may include terrain illumination and/or	Yes, 'Not required'	No	No BRDF correction is applied, so not applicable.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
			Bi-Directional Reflectance Function (BRDF) correction. Note 1: Information on measurement normalisation should be available in the metadata as single DOI landing page.				
3.4	Directional Atmospheric Scattering	Corrections are applied for aerosols and molecular (Rayleigh) scattering. Metadata contains a single DOI landing page with references to: • a citable peer- reviewed algorithm • technical documentation regarding the implementation of that algorithm	As threshold.	Yes	Yes	Detailed information on the directional atmospheric scattering is available in the PUM accessible through the single DOI landing page for the PROBA-V C2 collections (see General information)	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		 the sources of ancillary data used to make corrections Note 1: Examples of technical documentation include an Algorithm Theoretical Basis Document, product user guide, etc. 					
3.5	Water Vapour Corrections	Corrections are applied for water vapour. Metadata contains a single DOI landing page with references to: • a citable peer- reviewed algorithm • technical documentation regarding the implementation of that algorithm Note 1: Examples of technical documentation include an Algorithm Theoretical Basis Document, product user guide, etc.	As threshold.	Yes	Yes	Detailed information on the water vapour corrections is available in the PUM accessible through the single DOI landing page for the PROBA-V C2 collections (see General information)	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
3.6	Ozone Corrections	Not required.	Data is corrected for ozone. Relevant metadata must be provided under 1.8 and 1.9. Metadata contains a single DOI landing page with references to: • a citable peer- reviewed algorithm • technical documentation regarding the implementation of that algorithm	Yes, 'Not required'	Yes	Detailed information on ozone corrections is available in the PUM accessible through the single DOI landing page for the PROBA-V C2 collections (see General information)	

Geometric Corrections

Geometric corrections must place the measurement accurately on the surface of the Earth (that is, geolocate the measurement) allowing measurements taken through time to be compared.

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
4.1	Geometric Correction	Sub-pixel accuracy is achieved in <u>relative</u> geolocation, that is, the pixels from the same instrument and platform are consistently located, and in thus comparable, through time. Sub-pixel accuracy is taken to be less than or equal to 0.5- pixel radial root mean square error (rRMSE) or equivalent in Circular Error Probability (CEP) relative to a defined reference image. A consistent gridding/sampling frame is used, including common cell size, origin, and nominal sample point location within the cell (centre, II, ur).	Sub-pixel accuracy is achieved relative to an identified absolute independent terrestrial referencing system (such as a national map grid). A consistent gridding/sampling frame is necessary to meet this requirement. Relevant metadata must be provided under 1.8 and 1.9. Note 1: This requirement is intended to enable interoperability between imagery from different platforms that meet this level of correction and with non- image spatial data such as GIS layers and terrain models.	Yes	Yes	The sub pixel accuracy is 150m for the VNIR bands and 225 m for the VNIR/SWIR together. The PROBA-V acquisition are systematically compared to Landsat well known ground location. The multi- temporal accuracy is monitored and reported every 3 months. Detailed information on the geometric correction is available in the PUM accessible through the single DOI landing page for the PROBA-V C2 collections (see General information)	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		Relevant metadata must be provided under 1.8 and 1.9. Note 1: The threshold level will not necessarily enable interoperability between data from <u>different</u> sources as the geometric corrections for each of the sources may differ.					

General information on the PROBA-V Collection 2 products and DOI landing page

The PROBA-V products comprise the following elements:

• Segment products (Level-1C and Level-2A, both consisting of TOA reflectances)

The Level-1C product contains the raw, non-projected observations in segments, as well as calibration information, while the Level-2A (L-2A) products contain the projected segment data. The latter were named "P products" for SPOT-VGT.

• Synthesis products (Level-3, both TOA and TOC)

These products contain daily (S1, available at all resolutions) and multi-daily (S5 for 100 m) TOA reflectances that are composed of cloud, shadow, and snow/ice screened observations. Additionally, Top-of-Canopy (TOC) reflectance and Normalized Difference Vegetation Index (NDVI) products are corrected for atmospheric reflectance contributions, such as aerosols and gaseous absorption. For the TOC reflectances, also 10-daily composites are available (S10 for 300 m and 1 km) next to the S1 (for all resolutions) and 5-daily (S5) for the 100 m products. Synthesis products were previously known as S products for SPOT-VGT.

In Collection 1, all product metadata were CF-1.6-compliant. To further facilitate the access for non-experienced users, Collection 2 aims to follow the CARD4L specifications. However **only the PROBA-V Level 3 TOC products are Surface Reflectance Products** and are subject to the current self assessment for compliance against the Surface Reflectance Product Family Specifications.

VITO has implemented DOI landing pages for hosting all the PROBA-V Collection 2 reprocessing information and documentation. The registration of the DOIs is handled via ESA on the ISO 26324 DOI System website. The DOI pages will be activated at PROBA-V C2 release.

Only the DOI and landing page describing the Level3-TOC products is relevant for the current self assessment document and listed in the table below.

PROBA-V C2 Level	DOI (To be activated)	Landing page	# collections
Level3-TOC	<u>10.5270/PRV-7dte3c2</u>	https://proba-v.vgt.vito.be/en/product- types/c2/level-3TOC	6 collections (S1-1Km, S1-333m, S1-100m, S5-100m, S10-1km, S10-333m)

Table 1: DOI landing page

Summary Self-Assessment Table

	Threshold	Target
1. General Metadata		
1.1 Traceability	Yes	No
1.2 Metadata Machine Readability	Yes	Yes
1.3 Data Collection Time	Yes	Yes
1.4 Geographical Area	Yes	Yes
1.5 Coordinate Reference System	Yes	Yes
1.6 Map Projection	Yes	Yes
1.7 Geometric Correction Methods	Yes	Yes
1.8 Geometric Accuracy of the Data	Yes	Yes
1.9 Instrument	Yes	No
1.10 Spectral Bands	Yes	Yes
1.11 Sensor Calibration	Yes	No
1.12 Radiometric Accuracy	Yes	No
1.13 Algorithms	Yes	? (TBC)
1.14 Auxiliary Data	Yes	Partly
1.15 Processing Chain Provenance	Yes	Yes
1.16 Data Access	Yes	Yes
1.17 Overall Data Quality	Yes	Yes
2. Per-Pixel Metadata		
2.1 Metadata Machine Readability	Yes	Yes
2.2 No Data	Yes	Yes
2.3 Incomplete Testing	Yes	No
2.4 Saturation	Yes	Yes
2.5 Cloud	Yes	Yes
2.6 Cloud Shadow	Yes	Yes
2.7 Land/Water Mask	Yes	Yes
2.8 Snow/Ice Mask	Yes	Yes
2.9 Terrain Shadow Mask	Yes	No (less
		relevant)
2.10 Terrain Occlusion	Yes	No (less
		relevant)
2.11 Solar and Viewing Geometry	Yes	Yes
2.12 Terrain Illumination Correction	Yes	No (les
		relevant)
2.13 Aerosol Optical Depth Parameters	Yes	/
3. Radiometric and Atmospheric Corrections		
3.1 Measurement	Yes	Yes
3.2 Measurement Uncertainty	Yes	No
3.3 Measurement Normalisation	Yes	No
3.4 Directional Atmospheric Scattering	Yes	Yes
3.5 Water Vapour Corrections	Yes	Yes
3.6 Ozone Corrections	Yes	Yes

4. Geometric Corrections		
4.1 Geometric Correction	Yes	Yes

Guidance

This section aims to provide background and specific information on the processing steps that can be used to achieve analysis ready data. This Guidance material does not replace or over-ride the specifications.

Introduction to CARD4L

What is CEOS Analysis Ready Data for Land (CARD4L) products?

CARD4L products have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort. These products would be resampled onto a common geometric grid (for a given product) and would provide baseline data for further interoperability both through time and with other datasets.

CARD4L products are intended to be flexible and accessible products suitable for a wide range of users for a wide variety of applications, including particularly time series analysis and multi-sensor application development. They are also intended to support rapid ingestion and exploitation via high-performance computing, cloud computing and other future data architectures. They may not be suitable for all purposes and are not intended as a 'replacement' for other types of satellite products.

When can a product be called CARD4L?

The CARD4L branding is applied to a particular product once:

- that product has been assessed as meeting CARD4L requirements by the agency responsible for production and distribution of the product, and
- that assessment has been peer reviewed by the CEOS Land Surface Imaging Virtual Constellation in consultation with other CEOS working groups as appropriate, including the CEOS Working Group on Calibration and Validation.

Agencies or other entities considering undertaking an assessment process should contact the <u>Land</u> <u>Surface Imaging Virtual Constellation</u>.

A product can continue to use CARD4L branding as long as its generation and distribution remain consistent with the peer-reviewed assessment.

What is the difference between Threshold and Target?

Products that meet all threshold requirements should be immediately useful for scientific analysis or decision-making.

Products that meet target requirements will reduce the overall product uncertainties and enhance broad-scale applications. For example, the products may enhance interoperability or provide increased accuracy through additional corrections that are not reasonable at the *threshold* level.

Target requirements anticipate continuous improvement of methods and evolution of community expectations, which are both normal and inevitable in a developing field. Over time, *target* specifications may (and subject to due process) become accepted as *threshold* requirements.

Procedural Examples

Processes to produce Threshold Surface Reflectance CARD4L:

The following correction processes would typically be applied to produce CARD4L-SR Threshold:

• No example processes are provided at this time.

The following additional processes could be applied to produce CARD4L-SR Target:

• No example processes are provided at this time.

Specific Examples

Processes to produce Threshold Optical Surface Reflectance CARD4L:

• No example processes are provided at this time.

Reference Papers

The following paper provides scientific and technical guidance:

Li, F., Jupp, D.L.B., Thankappan, M., Lymburner, L., Mueller, N., Lewis, A., Held, A. (2012). A physicsbased atmospheric and BRDF correction for Landsat data over mountainous terrain. *Remote Sensing of Environment* 124 756–770. <u>https://doi.org/10.1016/j.rse.2012.06.018</u>.